

## **Fast Capital Markets Limited**

### **POLICY ON CAPACITY MANAGEMENT**

Policy created by	Designated Officer
Policy reviewed by	Technology Committee
Policy reviewed on	31.12.2023
Policy Approved by	Board of Directors
Policy approved on	04.01.2024

**Version - 1.0**

## **Purpose and Scope**

This Capacity Management Policy outlines the procedures and guidelines for effectively managing the capacity of company's systems and infrastructure. The objective is to ensure that the organization's technical capabilities can meet current and future demands, maintaining optimal performance, reliability, and compliance with regulatory standards.

## **Capacity Planning**

### **Demand Forecasting**

Implement a process for forecasting future demand on the trading platform and related systems.

### **Resource Allocation**

Allocate resources based on demand forecasts, ensuring that system capacity aligns with anticipated usage.

## **System Performance Monitoring**

### **Real-time Monitoring**

Utilize real-time monitoring tools to track system performance, including server utilization, latency, and throughput.

### **Proactive Alerts**

Configure proactive alerts to notify IT and operations teams of potential capacity issues before they impact performance.

## **Scalability**

### **Vertical Scaling**

Assess the ability to vertically scale existing infrastructure to handle increased loads efficiently.

### **Horizontal Scaling**

Evaluate options for horizontal scaling to distribute workloads across multiple servers or systems.

## **Load Testing**

### **Regular Testing**

Conduct regular load testing to simulate peak usage scenarios and identify potential bottlenecks.

### **Performance Benchmarks**

Establish performance benchmarks to measure and compare system performance under various load conditions.

## **Capacity Upgrades and Enhancements**

### **Timely Upgrades**

Implement a process for timely upgrades of hardware, software, and network components to meet growing capacity demands.

#### **Technology Refresh**

Regularly assess and refresh technology to ensure compatibility with the latest industry standards and advancements.

#### **Disaster Recovery and Business Continuity**

Integrate capacity management considerations into the organization's disaster recovery and business continuity plans.

#### **Compliance with Regulatory Requirements**

Ensure that capacity management practices comply with regulatory requirements in the financial industry.

#### **Reporting and Communication**

Develop a reporting framework to communicate capacity management status to relevant stakeholders, including senior management and regulatory authorities.

#### **Documentation**

Maintain comprehensive documentation of capacity planning, monitoring procedures, and actions taken in response to capacity-related incidents.

#### **Training and Awareness**

Provide training to relevant staff members on the importance of capacity management and adherence to established procedures.

Change in the Policy will be adopted as and when required by the company and is binding on all the Staff / Employees /and Directors of the Company.

Fast Capital Markets Ltd



Binay Kumar Agarwal  
Designated Officer