

Fast Capital Markets Limited

POLICY TO CONTROL RISK PARAMETERS

Policy created by	Designated Officer
Policy reviewed by	Technology Committee
Policy reviewed on	31.12.2023
Policy Approved by	Board of Directors
Policy approved on	04.01.2024

Version - 1.0

Purpose and Scope

This Risk Parameters Control Policy outlines the procedures and guidelines for managing and controlling risk parameters within our company. The objective is to establish a framework for monitoring and managing risk exposures to ensure the stability and integrity of our trading platform, while adhering to regulatory requirements.

Identification of Risk Parameters

Market Risk Parameters

Identify and define market risk parameters, including price volatility, margin requirements, and exposure limits.

Credit Risk Parameters

Define credit risk parameters, covering client credit limits, concentration risk, and counterparty risk.

Operational Risk Parameters

Identify operational risk parameters, such as system downtime limits, error rates, and contingency plans.

Setting Risk Tolerance Levels

Establish risk tolerance levels for each identified risk parameter, considering the overall risk appetite of the organization.

Monitoring and Surveillance

Real-time Monitoring

Implement real-time monitoring tools to continuously assess risk exposures against established parameters.

Alerts and Notifications

Configure alerts and notifications to promptly notify risk management teams of breaches or potential breaches of risk parameters.

Risk Mitigation Strategies

Automatic Triggers

Define automatic triggers that initiate risk mitigation actions when predefined risk levels are reached.

Manual Intervention Protocols

Establish procedures for manual intervention by risk management teams in the event of significant risk breaches.

Stress Testing

Regularly conduct stress testing to assess the resilience of risk parameters under extreme market conditions.

Reporting and Communication

Develop a reporting framework to communicate risk parameter status to relevant stakeholders, including senior management and regulatory authorities.

Compliance with Regulatory Requirements

Ensure that risk parameters and monitoring practices comply with regulatory requirements in the financial industry.

Periodic Review

Conduct periodic reviews of risk parameters, taking into account changes in market conditions, regulatory requirements, and internal risk management strategies.

Documentation

Maintain comprehensive documentation of risk parameters, monitoring procedures, and actions taken in response to breaches.

Training and Awareness

Provide training to relevant staff members on the importance of adhering to risk parameters and the procedures for managing risks.

Change in the Policy will be adopted as and when required by the company and is binding on all the Staff / Employees /and Directors of the Company.

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Binay Kumar Agarwal
Designated Officer