

# **Investor Alert**

- 1. KYC is one-time exercise while dealing in securities markets – once KYC is done through a SEBI registered intermediary (Broker, DP, Mutual Fund etc), you need not to undergo the same process again when you approach another intermediary.**
- 2. For Stock Broking Transactions “Prevent unauthorized transactions in your account → update your mobile numbers / email IDs with your stock brokers. Receive information of your transactions directly from the Exchange on your mobile / email at the end of the day...issued in the interest of the investors.”**
- 3. For Depository Transactions “Prevent unauthorized transactions in your demat account → update your mobile number with your Depository Participant. Receive alerts on your Registered Mobile for all debit and other important transactions in your demat account directly from CDSL / NSDL on the same day.... issued in the interest of the investors.”**
- 4. No need to issue cheques by investors while subscribing to IPO. Just write the bank account number and sign in the application form to authorize bank to make payment in case of allotment. No worries for refund as the money remains in investor’s account.**
- 5. Investors should be cautious on unsolicited emails and SMS advising to buy, sell or hold securities and trade only on the basis of informed decision. Investors are advised to invest after conducting appropriate analysis of respective companies and not to blindly follow unfounded rumours, tips etc. Further, you are also requested to share your knowledge or evidence of systematic wrongdoing, potential frauds or unethical behavior through the anonymous portal facility provided on BSE & NSE website.**