



GARG SECURITIES PVT. LIMITED

Corporate Member : National Stock Exchange of India Ltd.

SEBI Reg. No. : INB231251530
New SEBI Regd. No. : INZ000251333
CIN : U 67120 JH 2004 PTC 010585

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Annexure - B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending- Apr-2023

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolve d*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL		NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	Dec-2022	NIL	NIL	NIL	NIL
2	Jan-2023	NIL	NIL	NIL	NIL
3	Feb-2023	NIL	NIL	NIL	NIL
4	Mar-2023	NIL	NIL	NIL	NIL
5	Apr-2023	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	NIL	NIL	NIL	NIL
2	2019-20	NIL	NIL	NIL	NIL
3	2020-21	NIL	NIL	NIL	NIL
4	2021-22	NIL	NIL	NIL	NIL
5	2022-23	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

GARG SECURITIES PVT. LTD.



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Director

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