



Guidelines on Identification of Beneficial Ownership

For Non Individual customers as part of the due diligence measures sufficient information must be obtained in order to identify persons who beneficially own or control securities account

Whenever it is apparent that the securities acquired or maintained through an account are Beneficially owned by a party other than the client, that party should be identified and verified using client identification and verification procedures as early as possible. The beneficial owner is the natural person or persons who ultimately own, control, or influence a client and/or persons on whose behalf a transaction(s) is/are being conducted. It includes persons who exercise ultimate effective control over a legal person or arrangement..

We hereby identify the natural person who has controlling the ownership interest of the Client other than individual and trust.

If there is doubt to identify the beneficial owner then we identify the beneficial ownership through other means i.e.Voting rights, agreements, arrangement between company and its promoters, Directors & Shareholders.

If we are unable to identify the natural person then we consult with person who hold the senior position in a company then he will be intimate us beneficial owner.

For Client which is trust:We here by identify the beneficial owner who has controlling ownership interest through identity of settler of the trust , trustee, the protector.

