



Blog Chat Policy for Horizon Financial Consultants Pvt. Ltd.

In the view of the latest regulations for SEBI Registered Market Intermediaries it is directed that:

- Proper internal code of conduct and controls should be put in place and all employees should refrain from useless rumors and market movement news
- Employees/temporary staff/voluntary workers etc. employed/working in the Offices of market intermediaries do not encourage or circulate rumors or unverified information obtained from client, industry, any trade or any other sources without verification by the Compliance Head
- Access to Blogs/Chat forums/Messenger sites etc. are restricted under supervision or access should not be allowed only if the Risk Manger and Compliance Head is in Office
- Logs for any usage of such Blogs/Chat forums/Messenger sites (called by any nomenclature) shall be treated as records and the same should be Maintained as specified by the respective Regulations which govern the concerned intermediary.
- Employees should be directed that any market related news received by them either in their official mail/personal mail/blog or in any other manner, should be forwarded only after the same has been seen and approved by the Compliance Officer. If an employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for actions by the company.

Compliance Officer

