

**CLIENT CODE MODIFICATION  
POLICY**

**ADOPTED BY**

**HORIZON FINANCIAL  
CONSULTANTS PVT. LTD.**

**MEMBER – N S E, BSE & MCX-SX**

**FOR NSE CAPITAL MARKET, F&O**

**MARKET SEGMENT & CURRENCY**

**DERIVATIVE SEGMENT**

**FOR BSE CAPITAL MARKET**

**SEGMENT**

## **Client code modification policy**

The company do not encourage client code modifications and strictly monitors the same.

Only in genuine cases, where it is required to be carried out due to genuine error on the part of our dealers, our compliance officer allows the same after consulting with the concerned client. Our policy in this regard is to modify the errors through error account.

Further ,the same are recorded in a register which **is approved** by the compliance officer . The cases of clients modification are regularly discussed in a meeting which is held at the month-end , which is attended by the compliance officer and dealers .

(Ref.circular no. NSEINVG\2011\18484 dated july 29,2011 )