

HORIZON FINANCIAL CONSULTANTS PVT. LTD.



Regd. Off. : Stephen House, 4th Floor, Room No. 62,

56E, Hemanta Basu Sarani, Kolkata - 700 001

Phone : (033) 4067-5333, 3201-6301

E.mail : dp@horizon.net.co, Website : www.horizonfinancial.co.in

CIN:U65900WB2009PTC132843

SEBI REGISTRATION NO - INZ000171033

Name* - _____

Unique Client Code* - _____

Pan No* - _____

Date of Birth* - _____

Gender* - ☐ M - Male ☐ F- Female

Address* - _____

Gross Annual Income (Please specify)

Income Range* - ☐ Below 1lac ☐ 1-5lac ☐ 5-10lac ☐ 10-25lac ☐ 25lac – 1crore
☐ Above 1crore

Networth * - _____ **As on (date)** ____/____/_____
(not later than 1year)

Occupation* - ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business
☐ Professional ☐ Agriculturist ☐ Retired ☐ House-Wife ☐ Student ☐ Others _____

| Exchange | Segment | Signature alongwith Date of Consent of Trading. |
|--|--------------------|---|
| Multi-Commodity Exchange of India Ltd. | Commodity- Futures | ✓ |
| Multi-Commodity Exchange of India Ltd. | Commodity- Options | ✓ |

Declaration :

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief. I also undertake to give my consent for trading in above mentioned segment.

Signature of the Client ✓ _____

From

Dated: _____

To,

Horizon Financial Consultants Pvt. Ltd.

Trading Member of Multi Commodity Exchange of India Ltd.

19, R.N. Mukherjee Road

Main Building, 2nd Floor

Kolkata – 700 001

Ref: Acknowledgement for the receipt of documents

Dear Sir,

This is to acknowledge and declare that

- ☐ I/We have received a photocopy of the KYC (full booklet), dully executed with you, and to my /our satisfaction included my /our Unique Client Code (Trading Code).
- ☐ I/We have (☐ Physically ☐ Electronically) received, read and understand the Rights & Obligation, Risk Disclosure Documents, Guidance Note & Additional Risk Disclosure Documents for Option Trading.
- ☐ I/We have received, read and understood the policies and Procedures.
- ☐ The email id noted with you (for ECN and Other purposes) is correct.

Thanking You,

Yours Truly,

✓

Signature of the Client

Client Code _____

**Electronic Contract Note [ECN] – DECLARATION
(VOLUNTARY)**

To,
Horizon Financial Consultants Pvt. Ltd.
Trading Member of Multi Commodity Exchange of India Ltd.
19, R.N. Mukherjee Road
Main Building, 2nd Floor
Kolkata – 700 001

Dear Sir,

I, _____ a client with member
M/s. _____ of _____ Exchanges
undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the member is required to deliver physical contract note, I find that it is inconvenient for me to received physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling email operations.
- My email id is* _____. This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me, I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same

**(The email id must be written by own handwriting of the client.)*

Client Name: _____

Unique Client Code: _____

PAN: _____

Address: _____

Signature of the Client _____

Date: _____

Place: _____

Verification of the client signature done by, _____

Name of the designated officer of the Member Signature _____