

## Annexure C

### Investor Complaints Data : Lohia Securities Limited

**31 March 2024**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Depositories	<u>NIL</u>	4	NIL	4	NIL		NIL
4	Other Sources	NIL	NIL	NIL	NIL	NIL		NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL		NIL

#### **Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved	Pending
9	March - 2024	NIL	4	4	NIL
8	December - 2023	NIL	NIL	NIL	NIL
7	<b>September - 2023</b>	NIL	4	4	NIL
6	<b>June - 2023</b>	NIL	3	3	NIL
5	<b>March - 2023</b>	NIL	NIL	NIL	NIL
4	<b>December - 2022</b>	NIL	NIL	NIL	NIL
3	<b>September - 2022</b>	NIL	NIL	NIL	NIL
2	<b>August – 2022</b>	NIL	NIL	NIL	NIL
1	<b>July – 2022</b>	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	1	1	NIL

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Trend of annual disposal of complaints

SN	Year	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	1	1	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	10	10	NIL
6	2022-23	NIL	1	1	NIL
7	2023-2024	NIL	11	11	NIL
	Grand Total	NIL	23	23	NIL