

Annexure C

Investor Complaints Data : Lohia Securities Limited

30 September 2023

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Depositories	NIL	4	<u>4</u>	4	NIL		NIL
4	Other Sources	NIL	NIL	NIL	NIL	NIL		NIL
5	Grand Total	NIL	4	<u>4</u>	4	NIL		NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved	Pending
7	September - 2023	NIL	4	4	NIL
6	June - 2023	NIL	3	3	NIL
5	March - 2023	NIL	4	4	NIL
4	December - 2022	NIL	NIL	NIL	NIL
3	September - 2022	NIL	NIL	NIL	NIL
2	August - 2022	NIL	NIL	NIL	NIL
1	July - 2022	NIL	NIL	NIL	NIL
	Grand Total	NIL	11	11	NIL

Trend of annual disposal of complaints

SN	Year	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	1	1	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	10	10	NIL
6	2022-23	NIL	1	1	NIL
	Grand Total	NIL	12	12	NIL