

## Annexure C

### Investor Complaints Data : Lohia Securities Limited

**28 FEBRUARY 2022**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Depositories	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources	NIL	NIL	NIL	NIL	NIL		NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL		NIL

### **Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	<b>Febuary - 2022</b>	NIL	NIL	NIL	NIL
2	<b>January – 2022</b>	NIL	NIL	NIL	NIL
3	<b>December - 2021</b>	NIL	NIL	NIL	NIL
4	<b>November - 2021</b>	NIL	1	1	NIL
5	<b>October - 2021</b>	NIL	9	9	NIL
6	<b>September - 2021</b>	NIL	NIL	NIL	NIL
7	<b>August – 2021</b>	NIL	NIL	NIL	NIL
8	<b>July – 2021</b>	NIL	NIL	NIL	NIL
9	<b>June – 2021</b>	NIL	NIL	NIL	NIL
10	<b>May – 2021</b>	NIL	NIL	NIL	NIL
11	<b>April – 2021</b>	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	10	10	NIL

Trend of annual disposal of complaints

SN	Year	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	1	1	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	10	10	NIL
	Grand Total	NIL	11	11	NIL