



Rakhecha Securities Ltd.,
MEMBER : NATIONAL STOCK EXCHANGE OF INDIA LTD.,
GSTIN : 29AAACR6294C1Z9 CIN No. U85110KA1994PLC016346
Prabhat Complex, 2nd Floor, No. 8, K.G. Road, Bangalore - 560 009.

EMPLOYEES' HIRING/TRAINING POLICY
22.07.2022

HIRING OF EMPLOYEES

The Company has adequate screening procedures in place to ensure high standards when hiring employees. It identifies the key positions within the organization structures having Regards to the Risk of Money Laundering and terrorist financing and the size of their business and ensure the employees taking up such key positions are suitable and competent to perform their duties.

EMPLOYEES TRAINING

The Company has an ongoing employee training programme so that the members of the staff are adequately trained in AML and CFT procedures. Training requirements shall have specific focuses for frontline staff, back office staff, compliance staff, risk management staff and staff dealing with new clients. It is crucial that all those concerned fully understand the rationale behind these directives, obligations and requirements, implement them consistently and are sensitive to the risks of their systems being misused by unscrupulous elements.

For RAKHECHA SECURITIES LTD

M. K. Kulkarni
DIRECTOR