

SANJAY AGARWAL BROKING LIMITED

ECSL0710, AMBUJA NEOTIA ECOCENTRE, EM 4, SALT LAKE SECTOR V, KOLKATA - 700091 ● TEL: +91 33 46020776

SANJAY AGARWAL BROKING
LIMITED

BLOG CHAT POLICY

2020

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As per code of conduct for Stock Broker in SEBI (Stock Brokers and Sub- brokers) Regulations, 1992 and SEBI circular Cir/ISD/1/2011 dated March 23, 2011, all SEBI registered market intermediaries are required to have proper internal code of conduct to govern the conduct of its Employees. In view of same, SANJAY AGARWAL BROKING LIMITED implements code of conduct for communication through various modes of communication. Company Proprietors/Officers/ Employees/Temporary Staff/Voluntary Workers are prohibited from:

- Circulation of unauthenticated news related to various scripts in blogs/chat forums/e-mail etc.
- Encouraging or circulation rumors or unverified information obtained from client, industry, any trade or any other sources without verification.
- Either forwarding any market related news received in their official mail/personal mail/blog or in any other manner except after the same has been seen and approved by the Compliance officer.

Our company Proprietors/Officers/ Employees /Officers/Employees/ Temporary Staff / Voluntary Workers are restricted from circulation or rumors or unverified information obtained from client, industry, any trade or other sources without verification.

The Company Proprietors/Officers/ Employees /Officers/Employees/ Temporary Staff / Voluntary Workers will have to seek prior approval from Compliance Officer of SANJAY AGARWAL BROKING LIMITED before forwarding any market related news received by them either in their official mail / personal mail/blog or any other manner and all the reporting with regard to violation of the same shall be done to the designated Compliance Officer.

If an employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for disciplinary action.

Access to Blogs/chat forums/messenger sites etc. has been restricted by SANJAY AGARWAL BROKING LIMITED and is not allowed.

This code can be modified /amended /altered as required from time to time in compliance of the relevant provisions/regulations in this regard.

SANJAY AGARWAL BROKING LTD.

Santay Kumar Soman

Director