

**SANJAY AGARWAL BROKING**  
**LIMITED**

**INTERNAL CONTROL POLICY**

**2020**

# SANJAY AGARWAL BROKING LIMITED

ECSL0710, AMBUJA NEOTIA ECOCENTRE, EM 4, SALT LAKE SECTOR V, KOLKATA - 700091 ● TEL: +91 33 46020776

We have adopted various, measures including a number of documented policies which will assist in detecting and preventing violations and achieving compliances with bye laws, rules and regulations.

The Internal control Policy which have been documented and being send herewith include the given policies:

- Policy on Risk Management
- Blog Chat Policy
- Policy on Client Code Modification
- Policy on Client Identification
- Policy on Anti- Money Laundering and Combating Financing Terrorism
- Policy on Employee Training
- Policy on Surveillance

SANJAY AGARWAL BROKING LTD.

*Sanjay Kumar Somu*

Director