FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]

सत्यमेव जयते

Annual Return
(other than OPCs and Small Companies)

Form language (●) English ( ) Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company: U67100WB2016PTC216675 — Pre-fill

Global Location Number (GLN) of the company:

* Permanent Account Number (PAN) of the company: AAFCV5288F

(ii) (a) Name of the company: VIKASH STOCK BROKING SERVI

(b) Registered office address

18, RABINDRA SARANI
PODDAR COURT, 3RD FLOOR, UNIT NO. 304,
KOLKATA
Kolkata
West Bengal

(c) *e-mail ID of the company: VS************IL.COM

(d) *Telephone number with STD code: 03*******31

(e) Website: www.vikashstock.com

(iii) Date of Incorporation: 18/07/2016

(iv)

Type of the Company	Category of the Company	Sub-category of the Company
Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital (●) Yes ( ) No

(vi) *Whether shares listed on recognized Stock Exchange(s) ( ) Yes (●) No

(vii) *Financial year From date 01/04/2023 (DD/MM/YYYY) To date 31/03/2024 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ◉ Yes ○ No

(a) If yes, date of AGM 30/09/2024

(b) Due date of AGM 30/09/2024

(c) Whether any extension for AGM granted ○ Yes ◉ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 0 Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	14,000,000	13,285,000	13,285,000	13,285,000
Total amount of equity shares (in Rupees)	140,000,000	132,850,000	132,850,000	132,850,000

Number of classes 1

Class of Shares Equity Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	14,000,000	13,285,000	13,285,000	13,285,000

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	140,000,000	132,850,000	132,850,000	132,850,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes 0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	13,285,000	0	13285000	132,850,00(	132,850,0(	
Increase during the year	0	0	0	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0

viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	13,285,000	0	13285000	132,850,00	132,850,00	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares) 0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media] ○ Yes ○ No ◉ Not Applicable

Separate sheet attached for details of transfers ○ Yes ○ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	30/09/2023

Date of registration of transfer (Date Month Year)	22/03/2024		
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	240,000	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	25		
Transferor's Name			Drolia Agencies Pvt Ltd
	Surname	middle name	first name
Ledger Folio of Transferee	28		

Transferee's Name	Bothra	Lal	Babu
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	22/03/2024		
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	240,000	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	11		

Transferor's Name			Indigo Commotrade Pvt Ltd
	Surname	middle name	first name
Ledger Folio of Transferee	28		
Transferee's Name	Bothra	Lal	Babu
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	22/03/2024		
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	240,000	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	12		

Transferor's Name			SCB Fincon Services Pvt Ltd
	Surname	middle name	first name
Ledger Folio of Transferee	28		

Transferee's Name	Bothra	Lal	Babu
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	22/03/2024

Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred	240,000	Amount per Share/ Debenture/Unit (in Rs.)	10

Ledger Folio of Transferor	24

Transferor's Name			Tanuj Properties Pvt Ltd
	Surname	middle name	first name

Ledger Folio of Transferee	28

Transferee's Name	Bothra	Lal	Babu
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	

Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	

Ledger Folio of Transferor	

Transferor's Name			
	Surname	middle name	first name

Ledger Folio of Transferee	

Transferee's Name			
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures) 0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover 1,291,698,450

(ii) Net worth of the Company 391,291,405

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	8,870,000	66.77	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	8,870,000	66.77	0	0

Total number of shareholders (promoters) 5

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	**Percentage**	**Number of shares**	**Percentage**
1.	Individual/Hindu Undivided Family				
	(i) Indian	935,000	7.04	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				

	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	3,480,000	26.19	0	
10.	Others	0	0	0	
	Total	4,415,000	33.23	0	0

Total number of shareholders (other than promoters) 11

Total number of shareholders (Promoters+Public/ Other than promoters) 16

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	6	6
Members (other than promoters)	15	11
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. **Promoter**	1	1	1	1	15.88	0

B. Non-Promoter	0	0	0	0	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	1	1	1	15.88	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 3

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Vikash Kumar Bothra	01071812	Whole-time directo	2,110,000	
Ashok Kumar Bothra	01171978	Director	0	
Priyanka Jain	ECLPS7481A	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year 1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Priyanka Jain	ECLPS7481A	Company Secretary	29/09/2023	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held 1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/09/2023	20	9	79.11

B. BOARD MEETINGS

*Number of meetings held 8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	25/05/2023	2	2	100
2	31/05/2023	2	2	100
3	25/07/2023	2	2	100
4	29/09/2023	2	2	100
5	30/09/2023	2	2	100
6	22/11/2023	2	2	100
7	30/11/2023	2	2	100
8	22/03/2024	2	2	100

C. COMMITTEE MEETINGS

Number of meetings held 0

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	30/09/2024 (Y/N/NA)
1	Vikash Kumar	8	8	100	0	0	0	Yes

2	Ashok Kumar	8	8	100	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered: 1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Vikash Kumar Bothı	Whole Time Dire	7,000,000	0	0	0	7,000,000
	Total		7,000,000	0	0	0	7,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered: 1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Priyanka Jain	Company Secre	90,000	0	0	0	90,000
	Total		90,000	0	0	0	90,000

Number of other directors whose remuneration details to be entered: 0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ◉ Yes ○ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

(•) Yes ( ) No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name: Sonesh Jain

Whether associate or fellow: ( ) Associate (•) Fellow

Certificate of practice number: 11865

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. Nil dated 30/09/2024 (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been compiled with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director: VIKASH KUMAR BOTHRA

DIN of the director: 0*0*1*1*

To be digitally signed by: SONESH JAIN Digitally signed by SONESH JAIN Date: 2024.11.25 16:50:13 +05'30'

○ Company Secretary

◉ Company secretary in practice

Membership number 9*2*

Certificate of practice number 1*8*5

Attachments

1. List of share holders, debenture holders — Attach
2. Approval letter for extension of AGM; — Attach
3. Copy of MGT-8; — Attach
4. Optional Attachement(s), if any — Attach

List of attachments

List of Shareholders.pdf
Form MGT 8.pdf
Declaration of Designated Person.pdf
Vikash Stock MGT-7 UDIN 2024.pdf

Remove attachment

Modify | Check Form | Prescrutiny | Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Details of Shareholders of M/s. Vikash Stock Broking Services Private Limited as on 31/03/2024:

Sr. No.	L.F. No.	Name & Address of Shareholders	No of Equity Shares
1	0001	Vikash Kumar Bothra 18, Rabindra Sarani, Poddar Court, Gate No. 1, 3rd Floor, Room No. 304, Kolkata- 700001	2110000
2	0002	Sandeep Kumar Jain 10 Rameshwar Malia Lane, Howrah - 711101	5000
3	0003	Prachi Bothra The 42, 42B Chowringhee Road, 11Th Floor, Kolkata - 700071	2262000
4	0004	Vikash Kumar Bothra HUF 18, Rabindra Sarani, Poddar Court, Gate No. 1, 3rd Floor, Room No. 304, Kolkata- 700001	1880000
5	0005	Sarita Bothra The 42, 42B Chowringhee Road, 11Th Floor, Kolkata - 700071	1130000
6	0014	Shivam Dealcom Pvt. Ltd. 9-A, Lord Sinha Road, 1St Floor, Kolkata - 700071	150000
7	0015	Sonika Promoters & Fincon Pvt. Ltd. 32, Ezra Street, 10Th Floor, Room No. 1063, Kolkata - 700001	100000
8	0020	Mesco Marketing Pvt. Ltd. 12C, Chakraberia Road (North), Kolkata - 700020	150000
9	0021	Jethmal Mehta 6B, First Floor, N.E.A, Old Rajendra Nagar, Central Delhi, Pin - 110060	930000
10	0022	Shiv Shakti Commodities (P) Ltd 3A, Pollock Street, 2nd Floor, R. No. 10 Kolkata- 700001	1050000
11	0023	Namman Buildwells (P) Ltd 18, Rabindra Sarani, Poddar Court, Gate No. 1, 3rd Floor, Room No. 304, Kolkata- 700001	975000
12	0026	G.S. Global Projects Private Limited 238-A, A.J.C. Bose Road, 2Nd Floor, Kolkata - 700020	240000
13	0027	Square Four Housing & Infrastructure Development Private Limited 238-A, A.J.C. Bose Road, 2nD Floor, Suite No. 2B, Kolkata - 700020	240000

14	0028	Babu Lal Bothra 18, Rabindra Sarani, Poddar Court, Gate No. 1, 3rd Floor, Room No. 304, Kolkata- 700001	1488000
15	0029	Arun Mercantiles Pvt. Ltd. 18, Rabindra Sarani, Poddar Court, Gate No. 1, 3rd Floor, Room No. 304, Kolkata- 700001	450000
16	0030	Yasshvi Buildwells Pvt. Ltd. 18, Rabindra Sarani, Poddar Court, Gate No. 1, 3rd Floor, Room No. 304, Kolkata- 700001	125000
		Total	**13285000**

For Vikash Stock Broking Services Private Limited

Vikash Stock Broking Services Pvt. Ltd.

Vikash Kumar Bothra

Director

Vikash Kumar Bothra
Director
DIN No. 01071812

JAIN SONESH & ASSOCIATES
Company Secretaries
Unit No. 1222 (12th Floor), Howrah Trade Centre, 4, M.G. Road, Howrah (WB)-711 101
M: +91 8013929199 • E-mail: fcssoneshjain@gmail.com | jainsonesh1987@gmail.com

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **M/s. VIKASH STOCK BROKING SERVICES PRIVATE LIMITED having CIN: U67100WB2016PTC216675**, having its registered office at 18, Rabindra Sarani, Poddar Court, 3rd Floor, Unit No. 304, Kolkata - 700 001 as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the **financial year ended on 31st March, 2024**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I hereby certify that:

A) The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B) during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

a. its status under the Act i.e. Private Limited Company, Limited by shares;

b. maintenance of registers and records & making entries therein within the time prescribed therefore;

c. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time. Details of Forms/returns/Documents Filed during the Financial year were: -

Form no. /Document	Document Date	Challan Date	Challan Deposit date /Roc Filing Date	SRN No
AOC - 4 XBRL	F.Y. 2022-23	21.11.2023	21.11.2023	F81969487
MGT - 7	F.Y. 2022-23	25.11.2023	25.11.2023	F83661306
DPT - 3	F.Y. 2022-23	25.07.2023	26.07.2023	AA3693795
DIR - 12	29.09.2023	17.02.2024	22.02.2024	AA6874361
PAS - 3	31.03.2023	31.03.2023	01.04.2023	AA1771344

a. The Company has called/ convened/ held meetings of Board of Directors and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the minute book maintained for the purpose and the same have been signed;

b. the company was not required to close its Register of Members;

c. The Company has not advanced any loan to its directors and/or persons or firms or companies referred in Section 185 of the Act.

JAIN SONESH & ASSOCIATES
M.NO.F-9627
CP.NO.11865
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d. contracts and arrangements with related parties which were in the ordinary course of business and on the arm's length basis as specified in the provisions of Section 188 of the Act;

d. During the year under review, Shares were Transferred Details of Transfers mentioned in annual return; further there were no instances of issue or allotment or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital, conversion of shares/securities and issue of security certificate in all instances;

e. there were no transaction necessitating the Company to keep in abeyance the rights to dividend, right shares, and bonus shares pending registration of transfer of shares;

f. the Company has not declared any dividend, however the Company was not required to transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

g. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;

e. Constitution of board of Director(s) and Key Managerial Personnel, appointment of Director(s) and Key Managerial Personnel, re-appointments/retirement of Director, disclosures of the Director(s), Key Managerial Personnel(s) and the remuneration paid to them; During the year under review, The Company Secretary has been appointed, effective September 29, 2023.

h. The Company is in compliance of applicable provisions in respect of appointment / reappointment / filling up casual vacancies of auditors as per the provisions of section 139 of the Act.

i. there are no such instance/ transactions where the approval required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act ;

j. the Company has not accepted, renewed and repaid any deposits;

k. Borrowings from its directors, members, public financial institutions, banks and others, and creation /Modification /Satisfaction of Charges in that respect, wherever applicable.

l. The Company has not given any loan, guarantee and not made any investment to other bodies corporate or persons falling under the provisions of Section 186 of the Act;

f. the company has not altered the provisions of the Memorandum and Articles of Association of the Company;

Place: Howrah
Date: - 22-11-2024
UDIN: - F009627F002561541
PR No. 1618/2021

JAIN SONESH & ASSOCIATES
M.NO.F-9627
C.P.NO.11865
HOWRAH

For JAIN SONESH & ASSOCIATES
COMPANY SECRETARY

Sonesh Jain.

(CS SONESH JAIN)
Proprietor
Mem. No. FCS-9627
CP No.- 11865

This Report is to be read with my letter of even date which is annexed as Annexure A to Form MGT-8 and forms an integral part of this report.

JAIN SONESH & ASSOCIATES
Company Secretaries
Unit No. 1222 (12th Floor), Howrah Trade Centre, 4, M.G. Road, Howrah (WB)-711 101
M: +91 8013929199 • E-mail: fcssoneshjain@gmail.com | jainsonesh1987@gmail.com

Annexure A to Form MGT-8

To,
VIKASH STOCK BROKING SERVICES PRIVATE LIMITED

My Certificate on the Annual Return (Form MGT-8) of even date is to be read along with this letter.

1. Maintenance of Secretarial record is the responsibility of the management of the Company. My responsibility is to express an opinion on these secretarial records based on audit.
2. I have followed the audit practices and process as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done on test basis to ensure that correct facts are reflected in the Annual Return. I believe that the process and practices, I followed provide a reasonable basis for my opinion.
3. The Compliance of the provisions of Companies Act, 2013, rules, regulations, standards is the responsibility of management. My examination was limited to the verification of records.

Place: Howrah
Date: - 22-11-2024
UDIN: - F009627F002561541
PR No. 1618/2021

JAIN SONESH & ASSOCIATES
M.NO.F-9627
CP.NO.11865
HOWRAH

For JAIN SONESH & ASSOCIATES
COMPANY SECRETARY
Sonesh Jain
(CS SONESH JAIN)
Proprietor
Mem. No. FCS-9627
CP No - 11865

VIKASH STOCK BROKING SERVICES PRIVATE LIMITED
Member of National Stock Exchange of India Limited
Member Code : 90105, SEBI Registration No. : INZ000100438, CIN : U67100WB2016PTC216675

To,

Date: 30-09-2024

The Registrar of Companies,
Nizam Palace, 2nd MSO Building,
2nd Floor, 234/4, A. J. C. B. Road,
Kolkata - 700020, West Bengal

Dear Sir/Madam,

Subject: Declaration regarding Designated Person in pursuance to Rule 9 of the Companies (Management and Administration) Rules, 2014.

Ref: -Ministry of Corporate Affairs Notification vide G.S.R. 801(E) dated October 27, 2023

This is to inform that Pursuant to Rule 9(4) of the Companies (Management and Administration) Rules, 2014, Vikash Kumar Bothra (DIN: 01071978) and Ashok Kumar Bothra (DIN: 01171978) Directors of the Company, has been designated as the person who is responsible for furnishing and extending co-operation for providing, information to the Registrar or any other authorized officer with respect to beneficial interest in shares of the Company.

For Vikash Stock Broking Services Private Limited

Vikash Kumar Bothra

Vikash Kumar Bothra
(Director)
DIN: 01071978

"Poddar Court" 18 Rabindra Sarani, Gate No. 1, 3rd Floor, Unit No 304, Kolkata - 700001
Web : www.vikashstock.com, Email ID : vsbspvtltd@gmail.com, Tel : +91 33 2225 0031, +91 33 22250032, Fax : 033 2225 0032

THE INSTITUTE OF
Company Secretaries of India
भारतीय कम्पनी सचिव संस्थान
IN PURSUIT OF PROFESSIONAL EXCELLENCE
Statutory body under an Act of Parliament
(Under the jurisdiction of Ministry of Corporate Affairs)

For Any Query : UDIN@icsi.edu

To change / update the email and mobile number, please Click Here

UDIN number F009627F002687456 has been generated successfully.
Kindly note that Unused UDIN can only be revoked within 7 Days.;

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